

03/06/02

Please type a plus sign (+) inside this box →



03-07-02

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

A

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No.

01-2122.01

First Inventor

Robert L. Miller II, et al.

Title

Element Management System and Method Utilizing Provision Templates

Express Mail Label No.

ET808375244US

(Only for new non-provisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
3. ☒ Specification [Total Pages **27**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **4**]
5. Oath or Declaration [Total Pages **2**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 C.F.R. 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

24504

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP				
Address	100 Galleria Parkway, Suite 1750				
	USPTO Customer No.: 24504				
City	Atlanta	State	GA	Zip Code	30339-5948
County	Cobb	Telephone	(256) 704-3900	Fax	(256) 704-3905
Name (Print/Type)	Jon E. Holland			Registration No. (Attorney/Agent)	41,077
Signature				Date	March 6, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37  
CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)**824.00****Complete if Known**

Application Number

Filing Date

March 6, 2002

First Named Inventor

Robert L. Miller, II

Examiner Name

Group Art Unit

Attorney Docket No.

01-2122.01

**METHOD OF PAYMENT (check all that apply)**☐ Check☐ Credit  
Card☐ Money  
Order☐ Other☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

20-0778

Thomas, Kayden, Horstemeyer &amp; Risley, LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)** (\$)**740.00****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid
16	-20**	0	X	18.00	=	0.00
4	-3**	1	X	84.00	=	84.00
Multiple Dependent						

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)**84.00**

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$)

**SUBMITTED BY**

Name (Print/Type)

Jon E. Holland

Registration No.  
(Attorney/Agent)

41,077

Complete (if applicable)

Telephone

(256) 704-3900

Signature

Date

March 6, 2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Express Mail Label No.: ET808375244US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert L. Miller II, et al. §  
Application No.: §  
Filed: March 6, 2002 § Group/Art Unit:  
For: *Element Management System and Method* § Examiner:  
*Utilizing Provision Templates* §

OFFICIAL DRAFTSPERSON  
Assistant Commissioner for Patents  
Washington, D.C. 20231

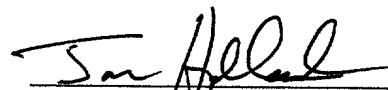
LETTER TO OFFICIAL DRAFTSPERSON

TRANSMITTAL OF FORMAL DRAWING(S)  
PRIOR TO NOTICE OF ALLOWANCE

Sir:

Attached please find the formal drawings (Figs. 1-5 ) to be made of record in the above-identified application.

Respectfully submitted.



Jon E. Holland

Registration No. 41,077

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP  
100 Galleria Parkway, NW, Suite 1750  
Atlanta, Georgia 30339-5948  
Customer No.: 24504

Express Mail Label No.: ET808375244US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert L. Miller II, et al. §  
§  
Application No.: § Group/Art Unit:  
Filed: March 6, 2002 § Examiner:  
For: *Element Management System and Method* §  
*Utilizing Provision Templates* §

BOX NON-PROVISIONAL PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Applicant, by and through his attorney, hereby submits the following documents:

1. Utility Patent Application Cover Sheet;
2. Application Data Sheet;
3. Fee Transmittal (w/1 copy);
4. Non-Provisional Patent Application (27 pages);
5. Drawings (4 sheets);
6. Declaration;
7. Statement Under 37 CFR 3.73(b);
8. Check in the amount of \$824.00; and
9. Return receipt postcard

Respectfully submitted,



Jon E. Holland

Registration No. 41,077

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP  
100 Galleria Parkway, NW, Suite 1750  
Atlanta, Georgia 30339-5948  
Customer No.: 24504

Express Mail Label No.: ET808375244US